

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Mark Moriconi et al.

Appln. No.: 09/721,557

Confirm. No.: 5061

Filed: November 22, 2000

Title: SYSTEM AND METHOD FOR  
MAINTAINING SECURITY IN A  
DISTRIBUTED COMPUTER  
NETWORK

PATENT APPLICATION

Art Unit: 2137

Examiner: Norris, Tremayne M

Customer No. 23910

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, on November 2, 2004.

(Attorney Signature)

Karl F. Kenna, Reg. No. 45,445

Signature Date: November 2, 2004

RESPONSE TRANSMITTAL LETTER

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted with this communication in connection with the above-identified application are the following:

- ☒ A Response under 37 C.F.R. §1.111 to the Office Action dated August 2, 2004.
- ☐ A Response under 37 C.F.R. §1.116 to the Office Action dated \_\_\_\_.
- ☐ A Petition for an Extension of Time under 37 C.F.R. §1.136.
- ☐ Applicant(s) qualify for small entity status under 37 C.F.R. §1.27.
- ☐ An Information Disclosure Statement pursuant to 37 C.F.R. §1.56.

The fee associated with this communication has been calculated as shown below:

- ☒ No fee is required with this communication.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established.
- ☐ A fee for extension of time for response under 37 C.F.R. §1.136 filed within \_\_\_ month(s) after the original time for response of \$\_\_\_ is due.
- ☐ A fee of \$180.00 is due for the submission of the accompanying Information Disclosure Statement.
- ☐ A fee for addition of claims under 37 C.F.R. §1.16 is due as follows:

FEES	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate Small Entity/Other Than Small Entity	Total
TOTAL CLAIMS (37 CFR 1.16(c))	___* -	___**	___	X \$ 9.00 X \$ 18.00	\$
INDEPENDENT CLAIMS (37 CFR 1.16(b))	___* -	___***	___	X \$ 44.00 X \$ 88.00	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))				+ \$150.00 + \$300.00	\$
				TOTAL	\$

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number of Previously Paid For" in this space is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" in this space is less than 3, enter "3".

The total fee required with this communication is \$\_\_\_\_\_ and is to be paid as follows:

\_\_\_\_\_ Please charge Deposit Account No. 06-1325 in the amount of \$\_\_\_\_\_. A duplicate copy of this authorization is enclosed.


\_\_\_\_\_ A check in the amount of \$\_\_\_\_\_ is enclosed.

✓

The Commissioner is hereby authorized to charge any deficiencies or credit overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: November 2, 2004

By:   
Karl F. Kenna  
Reg. No. 45,445

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**CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. §3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

BEA Systems, Inc., a ☒ corporation \_\_\_\_\_ partnership \_\_\_\_ other (specify), makes this statement to establish ownership of the patent application identified above pursuant to 37 C.F.R. §3.73(b).

The following evidentiary documents establish a chain of title from the original owner to the Assignee:

\_\_\_\_\_ a copy of an Assignment attached hereto, the original of which has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or

☒ the Assignment recorded on July 21, 2003 at reel 014277, frames 0844-0847.

The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignee's knowledge and belief, title to the above-identified patent application is in the Assignee.


If the assignee is owner of less than the entire right title and interest, the ownership interest of the Assignee is identified pursuant to 37 C.F.R. §3.73(c)(2) as follows: \_\_\_\_\_.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

BEA Systems, Inc.

Date: 9/17/04

By: 

Print Name: Robert F. Donohue, Esq.

Title: Senior VP, General Counsel